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PAGE 1/6 \* RCVD AT 11/14/2006 5:52:38 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-1/13 \* DNIS:2738300 \* CSID:13123214299 \* DURATION (mm-ss):01-56

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Date: November 14, 2006

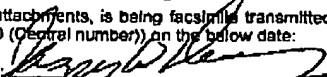
To: United States Patent and Trademark Office  
Fax No: 571-273-8300From: Jasper W. Dockrey (Reg. No. 33, 868)  
Tel. No: 312-321-4710

Client No: 10808/121 (P26950)

No. of Pages  
(inc. this page): 5**BEST AVAILABLE COPY**Confirmation Copy To Follow: Yes ☐ No ☒IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,  
PLEASE CALL 312-321-4200 AND ASK FOR: Catherine Cloffi

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| I hereby certify that this correspondence, totaling 4 pages including rected attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date: |                                |   |
| Date: <u>November 14, 2006</u>   | Name: <u>Jasper W. Dockrey</u> | Signature:  |

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kwon O. Sung  
 Appln. No.: 10/751,257  
 Filed: January 2, 2004  
 For: A METHOD OF  
 MANUFACTURING A LAYER  
 SEQUENCE AND A METHOD  
 OF MANUFACTURING AN  
 INTEGRATED CIRCUIT

Examiner: Binh X. Tran  
 Art Unit: 1765

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Attorney Docket No: 10808/121 (P26950)

### RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being resubmitted in response to the Office Communication of October 30, 2006.

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(d), Applicant hereby cites the following reference(s):

### U.S. REFERENCES

| DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | NAME          |
|--|------------|---------------|
| US 2003/0017657  | 01/23/2003 | Han           |
| US 2002/0164857  | 11/07/2002 | Ha            |
| 6,534,414  | 03/18/2003 | Wang et al.   |
| 6,265,259  | 07/24/2001 | Wu            |
| 5,870,810  | 09/23/1997 | Tamaki et al. |

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ALLIANCE

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Appln. No. 10/751,257

Attorney Docket No. 10808/121 (P26950)

**FOREIGN REFERENCES**

| <b>DOCUMENT<br/>NUMBER</b><br><small>Number-Kind Code (if known)</small> | <b>DATE</b> | <b>COUNTRY</b> |
|--|-------------|----------------|
| 1,434,603  | 08/06/2003  | China          |

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

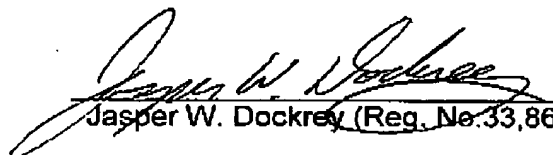
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant hereby certifies pursuant to 37 C.F.R. §1.97(e)(1) that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. Applicant has further calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicant has previously paid the fee for this Statement, however, the Office is authorized to charge any necessary additional fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

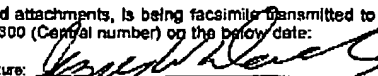
Respectfully submitted,

November 14, 2006

Date

  
Jasper W. Dockrey (Reg. No. 33,868)**BRINKS  
HOFER  
GILSON  
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-2-

|  |  |  |
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| <b>CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8</b><br>I hereby certify that this correspondence, totaling 4 pages including rected attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date:<br>Date: <u>November 14, 2006</u> Name: <u>Jasper W. Dockrey</u> Signature:  |  |  |
|--|--|--|

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& LIONE**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **Kwon O. Sung**

Appln. No.: **10/751,257**

Filed: **January 2, 2004**

For: **A METHOD OF MANUFACTURING A LAYER SEQUENCE AND A METHOD OF MANUFACTURING AN INTEGRATED CIRCUIT**

Examiner: **Binh X. Tran**

Art Unit: **1765**

Attorney Docket No: **10808/121 (P26950)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

### TRANSMITTAL

**Attached is/are:**

☒ Facsimile Cover Sheet, 1 page; Resubmission of Information Disclosure Statement, 2 pages; Form PTO-1449, 1 page.

☐ Return Receipt Postcard.

**Fee calculation:**

☒ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).

☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).

☐ An additional filing fee has been calculated as shown below:

|   |                                  |       |                                 |               | Small Entity |           |    | Not a Small Entity |           |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra | Rate         | Add'l Fee | or | Rate               | Add'l Fee |
| Total                                     |                                  | Minus |                                 |               | x \$25=      |           |    | x \$50=            |           |
| Indep.                                    |                                  | Minus |                                 |               | x 100=       |           |    | x \$200=           |           |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               | +\$180=      |           |    | +\$360=            |           |
|   |                                  |       |                                 |               | Total        | \$        |    | Total              | \$        |

**Fee payment:**

☐ A check in the amount of \$\_\_\_\_\_ is enclosed.

☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.

☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

November 14, 2006  
Date

Respectfully submitted,

  
Jasper W. Dockrey (Reg. No. 33,888)

**BRINKS  
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& LIONE**

**BRINKS HOFER GILSON & LIONE**  
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|   |                                |                                |
|---|--------------------------------|--------------------------------|
| FORM PTO-1449   | SERIAL NO.<br>10/751,257       | CASE NO.<br>10808/121 (P26950) |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>January 2, 2004 | GROUP ART UNIT<br>1765         |
| (use several sheets if necessary)   |                                | APPLICANT(S): Kwon O. Sung     |

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |     | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | NAME          | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|-----|--|------------|---------------|--------------------|----------------|
|                     | A1  | US2003/0017657   | 01/23/2003 | Han           |                    |                |
|                     | A2  | US2002/0164857   | 11/07/2002 | Ha            |                    |                |
|                     | A3  | 6,534,414  | 03/18/2003 | Wang et al.   |                    |                |
|                     | A4  | 6,265,259  | 07/24/2001 | Wu            |                    |                |
|                     | A5  | 5,670,810  | 09/23/1997 | Tamaki et al. |                    |                |
|                     | A6  |  |            |               |                    |                |
|                     | A7  |  |            |               |                    |                |
|                     | A8  |  |            |               |                    |                |
|                     | A9  |  |            |               |                    |                |
|                     | A10 |  |            |               |                    |                |
|                     | A11 |  |            |               |                    |                |
|                     | A12 |  |            |               |                    |                |
|                     | A13 |  |            |               |                    |                |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |     | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|-----|--|------------|---------|--------------------|--------------------------|
|                     | A14 | 1,434,603  | 08/06/2003 | China   |                    |                          |
|                     | A15 |  |            |         |                    |                          |
|                     | A16 |  |            |         |                    |                          |
|                     | A17 |  |            |         |                    |                          |
|                     | A18 |  |            |         |                    |                          |

| EXAMINER<br>INITIAL | OTHER ART - NON PATENT LITERATURE DOCUMENTS<br>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |
|---------------------|---|
|                     | A19   |
|                     | A20   |
|                     | A21   |
|                     | A22   |
|                     | A23   |
|                     | A24   |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.